### 1 TITLE PAGE

| 3  | Self-interruption of <i>Helicobacter pylori</i> eradication therapy and its associated risk factors  |
|----|--|
| 4  | (Self-interruption of <i>H. pylori</i> eradication therapy)  |
| 5  |  |
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| 14 |   |
| 15 | Ethical approval  |
| 16 | This study was approved by the Ethics Committee of Tokyo Rinkai Hospital (reception           |
| 17 | number: 168) and by the Ethics Committee of The Jikei University School of Medicine           |
| 18 | (approval number: 29-295(8911)).  |

## 1 Key words

*Helicobacter pylori*, eradication therapy, self-interruption, risk factors

| 2                          | Objectives To determine the incidence of self-interruption of a Helicobacter pylori (HP)   |  |  |  |  |  |  |  |
|----------------------------|--|--|--|--|--|--|--|--|
| 3                          | eradication protocol and to identify its associated risk factors in Japan.   |  |  |  |  |  |  |  |
| 4                          | Design Retrospective cohort study with case-control study.   |  |  |  |  |  |  |  |
| 5                          | Setting Regional secondary care hospital located in Edogawa-ku, Tokyo, Japan.  |  |  |  |  |  |  |  |
| 6                          | Participants Patients met the inclusion criteria if they visited the Department of   |  |  |  |  |  |  |  |
| 7                          | Gastroenterology and Hepatology on an outpatient basis from April 2002 to September 2014   |  |  |  |  |  |  |  |
| 8                          | and had a positive urea breath test (UBT) result. Patients were excluded if they took a HP test  |  |  |  |  |  |  |  |
| 9                          | other than a UBT or were <20 years of age.   |  |  |  |  |  |  |  |
| 10                         | Outcome measure Self-interruption of the eradication therapy protocol, which was defined as  |  |  |  |  |  |  |  |
|                            |  |  |  |  |  |  |  |  |
| 11                         | failure to complete a scheduled post-eradication therapy UBT.  |  |  |  |  |  |  |  |
| 11<br>12                   | failure to complete a scheduled post-eradication therapy UBT.<br><b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study,  |  |  |  |  |  |  |  |
|                            |  |  |  |  |  |  |  |  |
| 12                         | <b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study,   |  |  |  |  |  |  |  |
| 12<br>13                   | <b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study, 270 failed to take the post-eradication therapy UBT. Multiple logistic analyses showed that the   |  |  |  |  |  |  |  |
| 12<br>13<br>14             | <b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study, 270 failed to take the post-eradication therapy UBT. Multiple logistic analyses showed that the presence of gastric and duodenal ulcers (compared with atrophic gastritis) was associated with  |  |  |  |  |  |  |  |
| 12<br>13<br>14<br>15       | <b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study, 270 failed to take the post-eradication therapy UBT. Multiple logistic analyses showed that the presence of gastric and duodenal ulcers (compared with atrophic gastritis) was associated with failure to take the post-eradication therapy UBT (adjusted odds ratio = $2.220$ , p = $0.001$ ). An  |  |  |  |  |  |  |  |
| 12<br>13<br>14<br>15<br>16 | <b>Results</b> The descriptive analysis showed that among the 2,488 patients included in this study, 270 failed to take the post-eradication therapy UBT. Multiple logistic analyses showed that the presence of gastric and duodenal ulcers (compared with atrophic gastritis) was associated with failure to take the post-eradication therapy UBT (adjusted odds ratio = 2.220, p = 0.001). An age of $\geq$ 50 years (compared with <40 years) was less strongly associated with failure to take |  |  |  |  |  |  |  |

- associated with failure to take the post-eradication therapy UBT (adjusted odds ratio = 0.249, p
   = 0.001).
- Conclusion Approximately 10% of the study participants self-interrupted the HP eradication
  protocol. Additionally, age <40 years and the presence of gastric and duodenal ulcers were risk</li>
  factors for poor adherence.

### 1 INTRODUCTION

Gastric cancer is the fifth most common cancer and the third leading cause of cancer deaths 2 3 worldwide.<sup>1</sup> More than 1 million people were newly diagnosed and nearly 800,000 died of gastric cancer in 2018.<sup>1</sup> Although cigarette smoking, high alcohol intake, excess dietary salt, 4 5 lack of refrigeration, inadequate fruit and vegetable consumption, and pernicious anemia are reported as risk factors for gastric cancer,<sup>2</sup> Helicobacter pylori (HP) is considered the most 6 important factor.<sup>2</sup> In fact, HP is classified as a definitive carcinogen (Group 1),<sup>3</sup> and its 7 8 treatment is highly recommended.<sup>4</sup> 9 Nevertheless, approximately 4.4 billion individuals are infected with HP worldwide.<sup>5</sup>

10 The incidence of gastric cancer is high in East Asia, Eastern Europe, and South America, and 11 the incidence in Japan was ranked as third highest in the world in 2018.<sup>6</sup> In Japan, eradication 12 therapy for peptic ulcers caused by HP infection has been covered by public health insurance 13 since 2000, and the coverage was expanded to chronic HP gastritis in 2013.<sup>7</sup>

The preventive effect of HP eradication therapy on reducing the incidence of gastric cancer reportedly ranges from 93% to 98% for patients in their 40s and is almost 100% in patients <40 years old.<sup>8</sup> However, because poorer adherence to eradication therapy leads to lower levels of HP eradication,<sup>9</sup> adherence to eradication therapy is one of the most important factors for successful HP eradication.<sup>10</sup> Adherence is important for both taking prescribed medications and undergoing post-eradication therapy testing. Furthermore, the success rate of

| 1  | primary eradication therapy is approximately 70% to 93%. <sup>11,12,13,14,15,16</sup> Thus, the infection        |
|----|--|
| 2  | persists among the remaining approximately 7% to 30% of patients, and these patients have an                     |
| 3  | increased risk of gastric cancer. An international review article indicated that various therapy-                |
| 4  | related factors influence patient adherence, such as the complexity of treatment, therapy                        |
| 5  | duration, motivation of the physician, patient information, treatment efficacy, and adverse                      |
| 6  | effects of treatment. <sup>10</sup> However, few articles published to date have reported the risk factors       |
| 7  | among Japanese patients. One study from Japan reported the proportion of and risk factors for                    |
| 8  | self-interruption, which was defined as not receiving post-therapy examinations for                              |
| 9  | eradication. <sup>17</sup> Unfortunately, the study did not detect factors associated with self-interruption     |
| 10 | other than age. <sup>17</sup> This was likely due to too few self-interruption events. Thus, the detailed status |
| 11 | of adherence to eradication protocols among Japanese patients remains to be determined. To                       |
| 12 | improve adherence to the eradication protocol and eventually increase the success rate of HP                     |
| 13 | eradication, it is essential to determine the current incidence of self-interruption of HP                       |
| 14 | eradication protocols and the risk factors associated with failure to complete treatment.                        |
| 15 | We included patients who received HP eradication therapy not in the form of a                                    |
| 16 | potassium-competitive acid blocker, which was released in 2016 in Japan, <sup>18,19</sup> but in the form        |
| 17 | of a proton pump inhibitor because of sufficient numbers of both patients who completed                          |
| 18 | treatment and patients who self-interrupted treatment. The primary objective of this study was                   |
| 19 | to determine the incidence of self-interruption of a HP eradication protocol. The secondary                      |

| 1 | objective was t | to identify | factors | associated | with | this | self-interru | ption | in Ja | apan. |
|---|-----------------|-------------|---------|------------|------|------|--------------|-------|-------|-------|
|   | J               | 5           |         |            |      |      |              | 1     |       | 1     |

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### 4 **METHODS**

- 5 This study is herein reported in accordance with the Strengthening the Reporting of
- 6 Observational Studies in Epidemiology (STROBE) guidelines.<sup>20</sup>
- 7

### 8 Design

- 9 This was a retrospective cohort study with a case-control study.
- 10

### 11 Setting

12 The study setting was Tokyo Rinkai Hospital, a regional secondary care hospital with 400 beds

13 located in Edogawa-ku, Tokyo, Japan.<sup>21</sup> The number of outpatient visits to the Department of

14 Gastroenterology and Hepatology was 17,854 per year in 2019.<sup>22</sup>

15

### 16 **Participants**

17 Patients met the inclusion criteria if they visited the Department of Gastroenterology and

- 18 Hepatology on an outpatient basis from April 2002 to September 2014 and had a positive urea
- 19 breath test (UBT) result, which was defined as a value of  $\geq 2.5\%$ . Patients were excluded if they

| 1 | took a HP test other than a UBT or were <20 years of age. HP infection is commonly evaluated                    |
|---|---|
| 2 | by one of the following six methods: rapid urease test, histology, culture test, UBT, anti-HP                   |
| 3 | antibody assay, and fecal HP antigen assay. <sup>23</sup> Among these methods, we targeted patients who         |
| 4 | underwent a UBT, which is the most commonly used method for the diagnosis of HP infection                       |
| 5 | in Japan. <sup>24</sup> This is because the UBT does not require endoscopic biopsy tissue, does not take a      |
| 6 | long time to become negative after successful treatment, and is a simple and quick method with                  |
| 7 | high sensitivity and specificity. <sup>23</sup> Participants with a positive UBT result at the first and second |
| 8 | visits were followed until March and September 2015, respectively. The number of participants                   |
| 9 | determined the sample size.   |

10

### 11 **HP eradication therapy**

12 The HP eradication therapy protocol was as follows. At the first visit, the patient took a UBT; 13 if the test result was positive, a physician prescribed medications for the primary eradication 14 therapy. A post-primary eradication therapy UBT was scheduled at the first visit. At the second 15 visit, the patient took a post-primary eradication therapy UBT; if the result was still positive, a 16 physician prescribed medications for the secondary eradication therapy. A post-secondary eradication therapy UBT was scheduled at the second visit. The primary eradication therapy 17 18 was judged to have been successful if the test result was negative. At the third visit, the patient 19 took a post-secondary eradication therapy UBT; if the result was still positive, the secondary

| 1  | eradication therapy was judged to have failed. If the result was negative, the secondary                   |
|----|--|
| 2  | eradication therapy was judged to have been successful.  |
| 3  | For the primary eradication therapy, amoxicillin, clarithromycin, and a proton pump                        |
| 4  | inhibitor were administered for 7 days; for the secondary therapy, metronidazole was                       |
| 5  | administered instead of clarithromycin. <sup>23</sup> The primary and secondary eradication therapies were |
| 6  | covered by Japanese health insurance. <sup>25</sup>  |
| 7  |  |
| 8  | Measurements   |
| 9  | Outcome measure  |
| 10 | The outcome measure was self-interruption of the eradication therapy protocol. Self-                       |
| 11 | interruption was defined as failure to complete a scheduled post-eradication therapy UBT. We               |
| 12 | excluded patients who had indications for eradication therapy but did not attend a clinical visit          |
| 13 | and thus did not receive a prescription; these patients were considered highly likely to deviate           |
| 14 | from the HP eradication therapy protocol.  |
| 15 |  |
| 16 | Potential risk factors and other variables   |
| 17 | In addition to age and sex, we collected the following information from the electronic medical             |
| 18 | record system: whether the patient had received a medical referral letter from another hospital            |
| 19 | or clinic, whether the patient had received a recommendation following a cancer screening                  |

result, the presence of symptoms, and the presence of any diseases requiring a UBT (atrophic
 gastritis, gastric and duodenal ulcers, gastric mucosa-associated lymphatic tissue lymphoma,
 idiopathic thrombocytopenic purpura, or post-endoscopic treatment of early gastric cancer). For
 the statistical analyses, age was divided into five categories: <40 years, 40 to <50 years, 50 to</li>
 <60 years, 60 to <70 years, and ≥70 years.</li>

6 For the primary and secondary eradication therapy, data were also collected on the 7 results of the UBTs, the reasons for no therapeutic indication, and the reasons for no prescription. 8 We chose two pairs of case and control groups to compare patients who completed the 9 post-primary and secondary eradication therapy UBTs with patients who did not. The first case 10 group consisted of participants who did not take the post-primary eradication therapy UBT. The 11 same number of participants was then randomly selected as the first control group of 12 participants who took the post-primary eradication therapy UBT. Similarly, the second case 13 group consisted of participants who did not take the post-secondary eradication therapy UBT. 14 The same number of participants was then randomly selected as the second control group of 15 participants who took the post-secondary eradication therapy UBT.

16

17 Statistical analyses

Descriptive analyses were performed to elucidate the following outcomes: 1) the proportion of
participants who did not take the post-primary or secondary eradication therapy UBT among

| 1  | participants who received a prescription for the primary or secondary eradication therapy, 2)     |
|----|---|
| 2  | the proportion of participants who did not take the post-primary eradication therapy UBT          |
| 3  | among participants who received a prescription for the primary eradication therapy, and 3) the    |
| 4  | proportion of participants who did not take the post-secondary eradication therapy UBT among      |
| 5  | participants who received a prescription for the secondary eradication therapy. Descriptive       |
| 6  | analyses were also performed to clarify the reasons for no indication and no prescription.        |
| 7  | Missing values were described as "unknown."   |
| 8  | In the case-control study, we used multiple logistic analyses to identify the factors that        |
| 9  | were associated with failure to take the post-eradication therapy UBT, including age category,    |
| 10 | sex (female/male = $0/1$ ), presence of a medical referral letter from another hospital or clinic |
| 11 | (not present/present = $0/1$ ), whether a patient received a recommendation following a cancer    |
| 12 | screening result (no/yes = $0/1$ ), presence of symptoms (not present/present = $0/1$ ), and an   |
| 13 | indicator variable of a disease requiring a UBT (atrophic gastritis, gastric and duodenal ulcers, |
| 14 | gastric mucosa-associated lymphatic tissue lymphoma, idiopathic thrombocytopenic purpura,         |
| 15 | post-endoscopic treatment of early gastric cancer, and other diseases). We excluded patients      |
| 16 | with malignant tumors who received a prescription for eradication therapy but did not take the    |
| 17 | post-primary eradication therapy UBT from the multiple logistic regression analyses because       |
| 18 | they did not self-interrupt, but instead deviated from, the HP eradication therapy protocol.      |
| 19 | STATA/MP version 15.1 (StataCorp, College Station, TX) was used for the statistical               |

1 analyses, and p-values of <0.05 were considered statistically significant.

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### 3 Ethical considerations

| 4  | This study was approved by the Ethics Committee of Tokyo Rinkai Hospital (reception                    |
|----|--|
| 5  | number: 168) and by the Ethics Committee of The Jikei University School of Medicine                    |
| 6  | (approval number: 29-295(8911)). It was conducted in accordance with the Declaration of                |
| 7  | Helsinki <sup>26</sup> and the Ethical Guidelines for Medical and Health Research Involving Human      |
| 8  | Subjects. <sup>27</sup> We did not obtain individual patients' informed consent. However, we displayed |
| 9  | posters in the hospital that provided possible participants with information about the collection      |
| 10 | and use of their data for this study and guaranteed them protection of personal information and        |
| 11 | opportunities for refusal.   |
| 12 |  |
| 13 |  |
| 14 | RESULTS  |
| 15 | From April 2002 to September 2014, 3,518 patients visited the Department of Gastroenterology           |
| 16 | and Hepatology on an outpatient basis for suspected HP infection. Among them, 97 patients              |
| 17 | took a HP test other than a UBT: 86 took an anti-HP antibody assay, 8 took a fecal HP antigen          |
| 18 |  |
|    | assay, and 3 underwent a histological examination. Thirteen patients aged <20 years were               |

| 1  | were included (Figure 1). Among them, 238 and 32 patients failed to take the post-primary and   |
|----|---|
| 2  | secondary eradication therapy UBTs (self-interruption of the primary and secondary eradication  |
| 3  | therapy protocol), respectively (Figures 1 and 2). In total, 270 patients self-interrupted the  |
| 4  | protocol.   |
| 5  | Figure 1.   |
| 6  | Figure 2.   |
| 7  | The characteristics of the study participants are shown in Table 1.                             |
| 8  | Table 1.  |
| 9  | Descriptive analyses showed that the proportion of participants who did not take the            |
| 10 | post-primary or secondary eradication therapy UBT among participants who received a             |
| 11 | prescription for the primary or secondary eradication therapy was 9.6%. We also found that the  |
| 12 | proportion of participants who did not take the post-primary eradication therapy UBT among      |
| 13 | participants who received a prescription for the primary eradication therapy was 10.0%, and the |
| 14 | proportion of participants who did not take the post-secondary eradication therapy UBT among    |
| 15 | participants who received a prescription for the secondary eradication therapy was 7.4%.        |
| 16 | The reasons for no indication and no prescription are shown in Table 2. Among 113               |
| 17 | patients who had an indication for eradication therapy but did not receive a prescription, 27   |
| 18 | (23.9%) did not attend a clinical visit to receive the prescription.                            |
| 19 | Table 2.  |

| 1  | The characteristics of patients who received a prescription for HP primary and                         |
|----|--|
| 2  | secondary eradication therapy are shown in Table 1. Among these patients, we chose two pairs           |
| 3  | of case and control groups to compare patients who completed the post-primary and secondary            |
| 4  | eradication therapy UBTs with patients who did not. Multiple logistic regression analyses using        |
| 5  | the first case and control groups showed that the presence of gastric and duodenal ulcers              |
| 6  | (compared with atrophic gastritis) was associated with failure to take the post-eradication            |
| 7  | therapy UBT (adjusted odds ratio = 2.220, $p = 0.001$ ) (Table 3). An age of $\geq$ 50 years (compared |
| 8  | with <40 years) was less strongly associated with failure to take the post-eradication therapy         |
| 9  | UBT (adjusted odds ratio = 0.467, 0.307, and 0.185 and p = 0.011, <0.001, and <0.001 for age           |
| 10 | of 50 to <60 years, 60 to <70 years, and $\geq$ 70 years, respectively) (Table 3). Receiving a         |
| 11 | recommendation following a cancer screening result was also less strongly associated with              |
| 12 | failure to take the post-eradication therapy UBT (adjusted odds ratio = $0.249$ , p = $0.001$ ) (Table |
| 13 | 3).  |
| 14 | Although the associated risk factors might have differed between the first and second                  |
| 15 | case and control groups, we were unable to perform the analysis using solely the second case           |
| 16 | and control groups because of too few cases of self-interruption. However, we did perform              |
| 17 | multiple logistic regression analyses using the combined first and second case and control             |
| 18 | groups. The results of this analysis were similar to those of the first case and control groups        |
| 19 | (Table 4)  |

19 (Table 4).

In these analyses, there were no missing values, and three patients with malignant
 tumors were excluded from the first case group.

3 **Table 3.** 

4 **Table 4.** 

### DISCUSSION

Approximately 10% of the study participants self-interrupted the HP eradication protocol. Additionally, age <40 years (compared with  $\geq$ 50 years) and the presence of gastric and duodenal ulcers (compared with atrophic gastritis) were risk factors for poor adherence. Furthermore, receiving a recommendation following a cancer screening result was associated with good adherence.

Approximately 10% the study participants self-interrupted, whereas a previous retrospective cohort study in Japan reported a proportion of 6.0%.<sup>17</sup> The proportion of patients aged <50 years (36.6%) in this study was higher than the proportion in the previous study (23.6%).<sup>17</sup> As found in both the previous study<sup>17</sup> and the present study, younger age was associated with poor adherence, which presumably led to the higher proportion of self-interruption in this study. In this study setting and period, the eradication therapy mainly included lansoprazole as the proton pump inhibitor. The success rate of primary and secondary eradication therapy with lansoprazole ranges from 83.7% to 91.1%<sup>11</sup> and from 84.8% to 93.4%,<sup>28,29</sup> respectively. Thus, eradication therapy failed in the remaining at least 8.9% and

6.6% of patients who received HP primary and secondary eradication therapy, respectively. Similarly, eradication therapy also likely failed among participants who self-interrupted the protocol (238 and 32 patients undergoing primary and secondary eradication therapy, respectively). However, we believe that the rate of successful eradication was lower because fewer participants among those who self-interrupted presumably took their medications in the appropriate manner than those who completed the eradication therapy protocol.

In 2014, 7,168,070 HP primary eradication packs were prescribed to outpatients (inhospital and external prescriptions)<sup>30,31</sup>: 3,966,587 prescriptions of Lansap® 400/800 (containing lansoprazole 60 mg/day, amoxicillin 1500 mg/day, and clarithromycin 400/800 mg/day)<sup>32</sup> and 3,201,483 prescriptions of Rabecure® pack 400/800 (containing rabeprazole 20 mg/day, amoxicillin 1500 mg/day, and clarithromycin 400/800 mg/day).<sup>33</sup> The treatment duration is 7 days; thus, the estimated number of outpatients who took HP primary eradication therapy was 1,024,010 patients: 566,655 and 457,355 outpatients received Lansap® 400/800 and Rabecure® pack 400/800, respectively. If the self-interruption rate in this study and the success rate of primary eradication therapy with lansoprazole (83.7%–91.1%<sup>11</sup>) and rabeprazole (85.7%–89.0%<sup>13</sup>) are applied to the estimated number of outpatients, HP infection would persist among at least 10,049 patients. Gastric cancer reportedly developed in 2.9% of HP-infected patients during a follow-up period of 7.6 years,<sup>34</sup> which would lead to 291 patients developing gastric cancer during the same period. With HP secondary eradication therapy and individually prescribed medications (not pack prescriptions), more patients would develop gastric cancer. Therefore, it is important to reduce the incidence of self-interruption from a public health perspective.

Age <40 years was associated with poor adherence, which is similar to the results of a previous study conducted in Japan.<sup>17</sup> That study identified younger age (30–49 years) as a factor associated with self-interruption, although the study included a considerably smaller number of self-interruption events.<sup>17</sup> Likewise, younger age is a common risk factor for poor adherence among several other diseases.<sup>35,36,37</sup> The preventive effect of HP eradication therapy in reducing the incidence of gastric cancer was reported to be almost 100% in patients <40 years old.<sup>8</sup> Thus, patients who would receive the greatest benefit from eradication therapy often fail to complete their treatment. Additionally, gastric and duodenal ulcers were associated with poor adherence in the present study. Asymptomatic disease is expected to be a major predictor of poor adherence to medication.<sup>38</sup> Disappearance of symptoms achieved by the treatment might result in self-interruption. This is the first study in Japan to identify the association between poor adherence to eradication therapy and the presence of gastric and duodenal ulcers. Further studies are required to clarify this relationship in more detail. Moreover, we observed good adherence in patients who had received a recommendation following a cancer screening result, which might reflect patients' greater awareness of their health.

Among other diseases and treatments, such as antiretroviral therapy for the treatment

of human immunodeficiency virus (HIV) infection and direct-acting antivirals for the treatment of hepatitis C virus (HCV) infection, various approaches have been proposed to prevent selfinterruption and increase adherence.<sup>39,40</sup> In patients with HIV or HCV infection, for example, health care professionals are encouraged to explain the expected adverse effects of treatment, promote patients' understanding of the significance of treatment, and emphasize the importance of adherence. Clinicians are also encouraged to deliver the treatments with the cooperation of a multidisciplinary health care team, including physicians, nurses, pharmacists, and other health care professionals. Similarly, to increase adherence to HP eradication therapy, it is considered important to promote patients' understanding of the treatments and deliver the treatments with the cooperation of a multidisciplinary health care team. It might also be important for local governments or medical institutions to establish follow-up systems, as has been conducted for hepatitis B and C virus infections in some areas in Japan.<sup>41</sup>

In Japan, Vonosap® pack 400/800 and Vonopion® pack, which include the potassiumcompetitive acid blocker vonoprazan, were released in 2016.<sup>18,19</sup> Because of their higher eradication rate,<sup>16</sup> they have become the main drugs for HP eradication therapy. However, the results and findings of the present study, which was conducted before Vonosap® pack 400/800 and Vonopion® pack became available, are still considered important. For example, the number of doses per day (two), the number of tablets or capsules taken per day (10 or 12), and the treatment duration (7 days) are identical between Lansap®/Rabecure® pack 400/800 and Vonosap® pack 400/800.<sup>18,32,33</sup> Additionally, the incidence of adverse events during HP primary eradication therapy is reportedly comparable between vonoprazan and lansoprazole.<sup>16</sup> The pharmaceutical prices of Lansap®/Rabecure® pack 400/800 and Vonosap® pack 400/800 are also comparable.<sup>42</sup> Considering these factors, which are assumed to influence adherence to treatment,<sup>10</sup> the proportion of self-interruption of the HP eradication protocol with Vonosap® pack 400/800 and Vonosap® pack 400/800 and Vonosap® pack would probably be the same as the proportion found in this study. Therefore, the results of this study will provide the basis for future research regarding potassium-competitive acid blockers; such research is warranted to more accurately assess the current incidence of self-interruption.

This study has several limitations. First, self-interruption was defined as failure to complete a scheduled post-eradication therapy UBT. The patients who self-interrupted were not followed up and might have taken a post-eradication therapy UBT at another medical institution. In this case, the proportion of self-interruption would have been overestimated. Second, this was a retrospective cohort study. Information about the potential risk factors was collected from the electronic medical record system; as a consequence, all covariables that should have been adjusted were not collected because of limited sources. Therefore, other factors might have influenced self-interruption. Third, we did not include patients who had indications for eradication therapy but did not attend a clinical visit and thus did not receive a prescription. Exclusion of these patients, who might have had different factors associated with self-

interruption, could have resulted in overestimation or underestimation of our data; however, the influence of this small number of patients was presumably limited. Finally, this study was conducted at a single medical institution, which limits the generalizability of the results to some extent. A multicenter study is warranted to ensure greater generalizability.

### CONCLUSION

Approximately 10% of the study participants self-interrupted the HP eradication protocol. Additionally, age <40 years and the presence of gastric and duodenal ulcers were risk factors for poor adherence.

### **Conflict of Interest Statement**

This study was supported by The Jikei University Research Fund for Graduate Students (grant number: N/A). The funder played no role in the study design; in the collection, analysis, and interpretation of data; in the writing of the report; and in the decision to submit the article for publication. MM received lecture fees and lecture travel fees from the Centre for Family Medicine Development of the Japanese Health and Welfare Co-operative Federation. MM is an adviser for the Centre for Family Medicine Development Practice-Based Research Network and a program director of the Jikei Clinical Research Program for Primary-care. MM's son-in-law works at IQVIA Services Japan K.K., which is a contract research organization and a contract sales organization. All other authors declare no competing interests.

### Authors' contributions

HI designed the study, collected and interpreted the data, and prepared and reviewed the manuscript. YS designed the study, analyzed and interpreted the data, and prepared and reviewed the manuscript. RM designed the study, interpreted the data, and prepared and reviewed the manuscript. TY contributed to the collection of the data and review of the manuscript. HW, YN, SY, TH, and SM contributed to the design of the study and review of the manuscript. MM contributed to the design of the study, interpretation of the data, and preparation and review of the manuscript. All authors read and approved the final manuscript for submission.

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## Figure 1. Flow diagram detailing number of patients in each step of HP primary eradication therapy

HP, Helicobacter pylori; UBT, urea breath test.

# Figure 2. Flow diagram detailing number of patients in each step of HP secondary eradication therapy

HP, Helicobacter pylori; UBT, urea breath test.

#### Table 1. Characteristics of study participants and patients who received a prescription for

### HP eradication therapy

HP, Helicobacter pylori; SD, standard deviation.

### Table 2. Reasons for no indication and no prescription

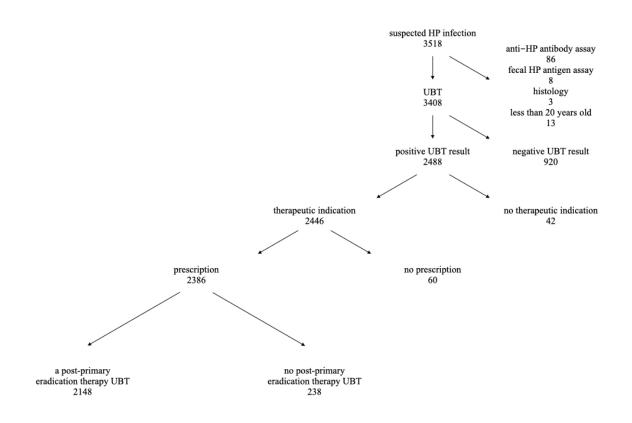
## Table 3. Multiple logistic regression analyses of patients who failed to take post-primary eradication therapy UBT

UBT, urea breath test; OR, odds ratio; CI, confidence interval.

### Table 4. Multiple logistic regression analyses of patients who failed to take post-primary

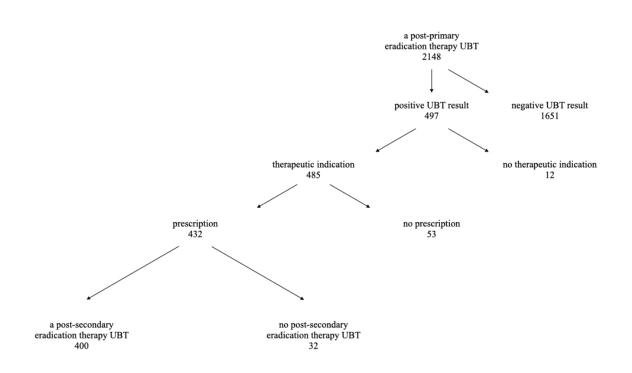
### and secondary eradication therapy UBTs

UBT, urea breath test; OR, odds ratio; CI, confidence interval.



# Figure 1. Flow diagram detailing number of patients in each step of HP primary eradication therapy

HP, Helicobacter pylori; UBT, urea breath test.



# Figure 2. Flow diagram detailing number of patients in each step of HP secondary eradication therapy

HP, Helicobacter pylori; UBT, urea breath test.

|                       | Study participants | Patients who received a     | Patients who received a       |
|-----------------------|--------------------|-----------------------------|-------------------------------|
|                       |                    |                             |                               |
|                       | (n = 2,488)        | prescription for HP primary | prescription for HP secondary |
|                       |                    | eradication therapy         | eradication therapy           |
|                       |                    | (n = 2,386)                 | (n = 432)                     |
| Age, mean (SD), years | 54.0 (12.9)        | 54.2 (12.8)                 | 53.6 (13.4)                   |
| By age group, No. (%) |                    |                             |                               |
| < 40 years            | 353 (14.2)         | 337 (14.1)                  | 66 (15.3)                     |
| 40 to < 50 years      | 558 (22.4)         | 524 (22.0)                  | 108 (25.0)                    |
| 50 to < 60 years      | 653 (26.3)         | 643 (27.0)                  | 95 (22.0)                     |
| 60 to < 70 years      | 626 (25.2)         | 594 (24.9)                  | 103 (23.8)                    |
| $\geq$ 70 years       | 298 (12.0)         | 288 (12.1)                  | 60 (13.9)                     |
| Sex, No. (%)          |                    |                             |                               |
| Female                | 1,030 (41.4)       | 986 (41.3)                  | 197 (45.6)                    |
| Male                  | 1,458 (58.6)       | 1,400 (58.7)                | 235 (54.4)                    |

Table 1. Characteristics of study participants and patients who received a prescriptionfor HP eradication therapy

HP, Helicobacter pylori; SD, standard deviation.

| No indication                            | No. (%)     |
|--|-------------|
| Allergy                                  | 15 (27.8)   |
| Drug resistance                          | 5 (9.3)     |
| Kidney or liver dysfunction              | 5 (9.3)     |
| Stomach cancer                           | 13 (24.1)   |
| Under treatment for other diseases       | 7 (13.0)    |
| Other                                    | 6 (11.1)    |
| Unknown                                  | 3 (5.6)     |
| Total                                    | 54 (100.0)  |
| No prescription                          | No. (%)     |
| No clinical visit                        | 27 (23.9)   |
| No request for treatment                 | 25 (22.1)   |
| Under treatment for other diseases       | 9 (8.0)     |
| Treatment at another medical institution | 6 (5.3)     |
| Before insurance coverage                | 42 (37.2)   |
| Other                                    | 3 (2.7)     |
| Unknown                                  | 1 (0.9)     |
| Total                                    | 113 (100.0) |

## Table 2. Reasons for no indication and no prescription

| Explanatory variables                         | Crude OR  | P-value | 95% CI      | Adjusted OR | P-value | 95% CI      |
|---|-----------|---------|-------------|-------------|---------|-------------|
| Age   |           |         |             |             |         |             |
| < 40 years                                    | Reference |         |             | Reference   |         |             |
| 40 to < 50 years                              | 0.573     | 0.059   | 0.322-1.021 | 0.618       | 0.120   | 0.337-1.133 |
| 50 to < 60 years                              | 0.469     | 0.008   | 0.268-0.820 | 0.467       | 0.011   | 0.259–0.841 |
| 60 to < 70 years                              | 0.280     | < 0.001 | 0.154-0.507 | 0.307       | < 0.001 | 0.165-0.570 |
| $\geq$ 70 years                               | 0.230     | < 0.001 | 0.108-0.490 | 0.185       | < 0.001 | 0.082-0.418 |
| Sex   |           |         |             |             |         |             |
| Female  | Reference |         |             | Reference   |         |             |
| Male  | 1.261     | 0.239   | 0.857-1.856 | 0.943       | 0.785   | 0.617-1.441 |
| Presence of a medical referral letter         |           |         |             |             |         |             |
| Without a medical referral letter             | Reference |         |             | Reference   |         |             |
| With a medical referral letter                | 0.627     | 0.024   | 0.417-0.942 | 1.127       | 0.639   | 0.683-1.860 |
| Cancer screening                              |           |         |             |             |         |             |
| No cancer screening                           | Reference |         |             | Reference   |         |             |
| After cancer screening                        | 0.388     | < 0.001 | 0.247-0.611 | 0.249       | 0.001   | 0.108-0.573 |
| Presence of symptoms                          |           |         |             |             |         |             |
| Without symptoms                              | Reference |         |             | Reference   |         |             |
| With symptoms                                 | 1.622     | 0.039   | 1.025-2.567 | 0.476       | 0.078   | 0.208-1.086 |
| Presence of diseases which require taking UBT |           |         |             |             |         |             |
| Atrophic gastritis                            | Reference |         |             | Reference   |         |             |
| Gastric and duodenal ulcer                    | 2.619     | < 0.001 | 1.703-4.029 | 2.220       | 0.001   | 1.373-3.589 |

## Table 3. Multiple logistic regression analyses of patients who failed to take post-primary eradication therapy UBT

| Gastric mucosa-associated lymphatic tissue lymphoma | _     | _     | _            | _     | _     | _            |
|---|-------|-------|--------------|-------|-------|--------------|
| Idiopathic thrombocytopenic purpura                 | _     | —     | _            | —     | _     | _            |
| After endoscopic treatment of early gastric cancer  | 4.146 | 0.251 | 0.365-47.061 | 5.033 | 0.222 | 0.377-67.203 |
| Other diseases                                      | 6.220 | 0.118 | 0.628-61.642 | 4.206 | 0.234 | 0.396-44.702 |

UBT, urea breath test; OR, odds ratio; CI, confidence interval.

|   |           | 1       |             |             | L       | 1           |
|---|-----------|---------|-------------|-------------|---------|-------------|
| Explanatory variables                         | Crude OR  | P-value | 95% CI      | Adjusted OR | P-value | 95% CI      |
| Age   |           |         |             |             |         |             |
| < 40 years                                    | Reference |         |             | Reference   |         |             |
| 40 to < 50 years                              | 0.523     | 0.018   | 0.305-0.897 | 0.607       | 0.087   | 0.343-1.075 |
| 50 to $<$ 60 years                            | 0.426     | 0.002   | 0.250-0.727 | 0.431       | 0.003   | 0.246-0.756 |
| 60 to < 70 years                              | 0.251     | < 0.001 | 0.143-0.442 | 0.282       | < 0.001 | 0.157-0.509 |
| $\geq$ 70 years                               | 0.246     | < 0.001 | 0.126-0.483 | 0.212       | < 0.001 | 0.103-0.436 |
| Sex   |           |         |             |             |         |             |
| Female  | Reference |         |             | Reference   |         |             |
| Male  | 1.306     | 0.144   | 0.912-1.870 | 0.971       | 0.884   | 0.653–1.444 |
| Presence of a medical referral letter         |           |         |             |             |         |             |
| Without a medical referral letter             | Reference |         |             | Reference   |         |             |
| With a medical referral letter                | 0.678     | 0.050   | 0.459–0.999 | 1.179       | 0.497   | 0.733-1.899 |
| Cancer screening                              |           |         |             |             |         |             |
| No cancer screening                           | Reference |         |             | Reference   |         |             |
| After cancer screening                        | 0.355     | < 0.001 | 0.231-0.546 | 0.220       | < 0.001 | 0.102-0.475 |
| Presence of symptoms                          |           |         |             |             |         |             |
| Without symptoms                              | Reference |         |             | Reference   |         |             |
| With symptoms                                 | 1.716     | 0.016   | 1.105-2.665 | 0.461       | 0.054   | 0.209–1.015 |
| Presence of diseases which require taking UBT |           |         |             |             |         |             |
| Atrophic gastritis                            | Reference |         |             | Reference   |         |             |
| Gastric and duodenal ulcer                    | 2.808     | < 0.001 | 1.879-4.196 | 2.278       | < 0.001 | 1.457–3.563 |

## Table 4. Multiple logistic regression analyses of patients who failed to take post-primary and secondary eradication therapy UBTs

| Gastric mucosa-associated lymphatic tissue lymphoma | _     | _     |              | _     |       | _            |
|---|-------|-------|--------------|-------|-------|--------------|
| Idiopathic thrombocytopenic purpura                 | 2.149 | 0.591 | 0.132-35.104 | 1.239 | 0.882 | 0.073–20.973 |
| After endoscopic treatment of early gastric cancer  | 4.298 | 0.239 | 0.380-48.589 | 4.019 | 0.286 | 0.312-51.837 |
| Other diseases                                      | 6.447 | 0.111 | 0.653-63.629 | 4.222 | 0.232 | 0.397-44.867 |

UBT, urea breath test; OR, odds ratio; CI, confidence interval.