

Systematic alanine scanning of PAX8 paired domain reveals functional importance of the N-subdomain

Short title: Alanine scanning mutagenesis of PAX8

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1 **Abstract**

2 Thyroid-specific transcription factor PAX8 has an indispensable role in the thyroid gland development,
3 which is evidenced by the facts that *PAX8/Pax8* mutations cause congenital hypothyroidism in humans and
4 mice. More than 90% of known *PAX8* mutations were located in the paired domain, suggesting the central
5 role of the domain in exerting the molecular function. Structure-function relationships of PAX8, as well as
6 other PAX family transcription factors, have never been investigated in a systematic manner. Here, we
7 conducted the first alanine-scanning mutagenesis study, in which 132 alanine variants located in the paired
8 domain of PAX8 were created and systematically evaluated *in vitro*. We found that 76 alanine variants (55%)
9 were loss of function (LOF) variants (defined by <30% activity as compared with wildtype PAX8).
10 Importantly, the distribution of LOF variants were skewed, with more frequently observed in the
11 N-subdomain (65% of the alanine variants in the N-subdomain) than in the C-subdomain (45%). Twelve out
12 of 13 alanine variants in residues that have been affected in patients with congenital hypothyroidism were
13 actually LOF, suggesting that the alanine scanning data can be used to evaluate the functional importance of
14 mutated residues. Using our *in vitro* data, we tested the accuracy of seven computational algorithms for
15 pathogenicity prediction, showing that they are sensitive but not specific to evaluate on the paired domain
16 alanine variants. Collectively, our experiment-based data would help better understanding of the
17 structure-function relationships of the paired domain, and would provide a unique resource for pathogenicity
18 prediction of future *PAX8* variants.

19

20 **Introduction**

21 Organ-specific transcription factors play pivotal roles in organogenesis, organ growth, and maintenance of
22 organ functions. As for the thyroid gland, three thyroid-specific transcription factors (TTF), namely PAX8
23 (encoded by *PAX8*) (Plachov *et al.* 1990, Zannini *et al.* 1992), TTF-1 (encoded by *NKX2-1*) (Guazzi *et al.*
24 1990, Lazzaro *et al.* 1991), TTF-2 (encoded by *FOXE1*) (Zannini *et al.* 1997), and HEX (encoded by *HHEX*)
25 (Crompton *et al.* 1992, Thomas *et al.* 1998), have been known to play such roles.

26 PAX8 is a member of the PAX gene family, which is characterized by the presence of DNA-binding
27 paired domain. In mice and human, *Pax8/PAX8* is expressed in the thyroid from prenatal period to adulthood
28 (Plachov *et al.* 1990). In cultured cell lines, PAX8 directly regulates the transcription of thyroid-specific
29 genes, such as thyroglobulin (Tg), thyroid peroxidase (TPO) (Di Palma *et al.* 2003) and the sodium iodide
30 symporter (Ohno *et al.* 1999). Genetically-engineered *Pax8*-deficient mice show severe thyroid hypoplasia
31 due to defective proliferation and survival of thyroid precursor cells (Mansouri *et al.* 1998), indicating the
32 indispensable role of *Pax8* in the early organogenesis. In humans, heterozygous *PAX8* mutations cause
33 congenital hypothyroidism (CH) with autosomal dominant inheritance. To date, 33 mutation-carrying
34 families harboring a total of 23 distinct *PAX8* mutations have been described (Al Taji *et al.* 2007, Carvalho *et*
35 *al.* 2013, Congdon *et al.* 2001, de Sanctis *et al.* 2004, Di Palma *et al.* 2010, Grasberger *et al.* 2005, Hermanns
36 *et al.* 2013, Jo *et al.* 2010, Komatsu *et al.* 2001, Liu *et al.* 2017, Lof *et al.* 2016, Macchia *et al.* 1998, Meeus
37 *et al.* 2004, Narumi *et al.* 2012, Narumi *et al.* 2010, Narumi *et al.* 2011, Ramos *et al.* 2014, Srichomkwun *et*
38 *al.* 2016, Tonacchera *et al.* 2007, Vilain *et al.* 2001, Zou *et al.* 2015). Clinical phenotypes of the mutation
39 carriers are variable, ranging from overt CH with severe thyroid hypoplasia to subclinical hypothyroidism

40 with a normal-sized thyroid (Supplementary Table 1).

41 Previously reported experimentally-verified PAX8 mutations have been found exclusively in the paired
42 domain, except for one truncating mutation (p.Thr 277*) located outside the domain (de Sanctis *et al.* 2004).
43 This fact implies the importance of the paired domain in exerting the molecular function of PAX8.
44 Nonetheless, genetic variants located in functionally important domains do not necessarily result in loss of
45 function (LOF) sufficient for disease onset. Hence, when a novel variant is found in a patient, it is necessary
46 to presume its pathogenicity. To this end, a handful of computational algorithms, such as PolyPhen-2
47 (Adzhubei *et al.* 2010) and SIFT (Kumar *et al.* 2009), have been used. However, the accuracy of these *in*
48 *silico* algorithms remains around about 70% (Thusberg *et al.* 2011), which is not enough reliable to be used
49 in clinical genetic diagnosis. In this present study, systematic alanine scanning mutagenesis was performed to
50 determine which residues are functionally important. Alanine substitution eliminates side chain interactions
51 without altering main-chain conformation, enabling to assess the contribution of specific residue on the
52 function. We performed systematic alanine scanning mutagenesis that targeted all 132 non-alanine residues
53 of the paired domain of PAX8, and assessed the effects of amino acid alterations *in vitro*. We also compared
54 *in silico* algorithms accuracy with use of our *in vitro* dataset.

55

56 **Materials and Methods**

57 **Plasmids**

58 We used simian virus 40 promoter-driven effector plasmids carrying human PAX8 cDNA that has been

59 previously described (Narumi *et al.* 2010). We created a total of 132 alanine-substituted, the smallest chiral
60 amino acid, variant PAX8 [Met1Ala to Gln137Ala; five alanine residues (Ala¹⁹, Ala³⁸, Ala⁸⁴, Ala¹⁰⁴ and
61 Ala¹¹³) were excluded] using PrimeSTAR Mutagenesis Basal Kit (TaKaRa Bio Inc, Shiga, Japan). We
62 confirmed each alanine mutation substitution by direct sequencing. Alanine scanning enables quick
63 determination of each individual amino acid's contribution to the protein function. The transcriptional
64 activities of PAX8 proteins [wildtype (WT) or alanine variants] were assessed with firefly luciferase
65 reporters that contain the promoter sequence of the human Tg gene corresponding to -284/+39 region
66 (*TG-luc*) (Narumi *et al.* 2010), or the promoter sequence of the rat TPO gene corresponding to -1/+426
67 region (*Tpo-luc*) (Di Palma *et al.* 2003).

68

69 **Cell culture and transfection**

70 HeLa cells were maintained in DMEM supplemented with 100 U/mL penicillin, 100 µg/mL streptomycin,
71 and 10% fetal bovine serum. Transient transfection was performed with the Lipofectamine 3000 reagent
72 (Thermo Fisher Scientific, Waltham, MA, USA). Cells grown in 96-well plates with 70-80% confluence
73 were transfected with 90 ng of each luciferase reporter (*TG-luc* or *Tpo-luc*), and 10 ng of each effector
74 plasmid (empty vector, WT-PAX8 or alanine variant PAX8). Forty-eight hours after transfection, we
75 measured luciferase activities using ONE-Glo Luciferase Assay System (Promega, Madison, WI) according
76 to the manufacture's instruction. Luciferase activities were represented relative to the activity obtained by
77 transfection of WT-PAX8 (set to 100%) and empty vector (set to 0%). Experiments were conducted in

78 quadruplicate, and were repeated at least three times. The activity data were expressed as mean±SEM. Based
79 on the transactivating capacities, the following terms were defined: profound LOF, less than 10.0% activity;
80 moderate LOF, 10.0–29.9% activity; minimal LOF, 30.0–69.9% activity; functionally neutral, 70.0–119.9%
81 activity; hyperfunctioning, equal or more than 120% activity. We considered variants with profound LOF or
82 moderate LOF as disease-causing variants (described as significant LOF).

83

84 **Three-dimensional modeling**

85 The three-dimensional modeling structure of PAX8–DNA complex has not been determined to date. Instead,
86 we used crystal structure data of the PAX5–DNA complex to display the positions of the variants,
87 considering the high protein sequence similarity (94% identical in the paired domain) between PAX8 and
88 PAX5. The crystal structure data of PAX5–DNA complex was obtained from protein data bank (ID 1MDM;
89 <http://www.rcsb.org/pdb>), and was used as a template to visualize the effects of alanine substitutions. The
90 pictures were produced with PyMOL (<http://www.pymol.org>). Residues that were not identical between
91 PAX8 and PAX5 were not shown. The effect of alanine substitution in the pairing region of PAX8 was
92 shown as color-coded spheres: red, profound LOF; orange, moderate LOF; yellow, minimal LOF; gray,
93 functionally neutral; and blue, hyperfunctioning. Presumed effects of alanine substitution were classified into
94 five categories: (i) loss of hydrogen bond(s) to target DNA; (ii) loss of van der Waals contact(s) to target
95 DNA; (iii) loss of hydrogen bond(s) within PAX8, (iv) loss of van der Waals contact(s) within PAX8; and (v)
96 no recognizable effect on contact to target DNA or within PAX8 (“free sidechain” residues). We used

97 PyMOL to predict hydrogen bonds with a default setting van der Waals contacts were defined based on the
98 distance (3.50 to 3.99 Å) between the Ala sidechains to other carbon molecules (target DNA or other residues
99 of PAX8).

100

101 **Computational prediction of pathogenicity of PAX8 alanine variants**

102 The pathogenicity of 132 alanine variants were assessed by following seven computational algorithms:
103 FATHMM (Shihab *et al.* 2013), MutationAssessor (Reva *et al.* 2011), MutationTaster (Schwarz *et al.* 2010),
104 PolyPhen-2 (Adzhubei *et al.* 2010), PROVEAN (Choi *et al.* 2012), SIFT (Kumar *et al.* 2009), and VEST-4
105 (Carter *et al.* 2013). The accuracy of computational prediction tools was assessed by ROC curves. In this
106 study, a gold standard was defined by the results of *in vitro* functional assays, in which variants with
107 significant LOF (*i.e.*, transactivating capacity less than 30%) were considered to be deleterious.

108

109 **Results**

110 **Transactivating capacities of alanine variants**

111 PAX8 is a 450 amino-acids protein that contains a paired domain of Gly⁹ to Gln¹³⁷. To systematically assess
112 the effect of amino acid substitutions in the paired domain and its N-terminal region (Met¹ to Ser⁸), 132
113 non-alanine residues were substituted to alanine one by one, and transcriptional activities of each mutant
114 were assessed with two luciferase reporters (*TG-luc* and *Tpo-luc*) (Supplementary Table 2). There was a
115 significant correlation between the transactivating capacities for *TG-luc* and *Tpo-luc* among the 132 alanine

116 variants ($R^2 = 0.66$, $P < 0.001$; Supplementary Fig. 1). Discordance in transactivating capacities between the
117 two reporters was observed in only one alanine variant Val75Ala (*TG*-luc $65.8 \pm 12.3\%$, *Tpo*-luc
118 $127.0 \pm 17.2\%$). For simplicity, descriptions of transactivating capacities below are based on ones measured
119 with *TG*-luc.

120 Profound LOF was observed in 46 alanine variants (35% of total alanine variants), while moderate LOF
121 was observed in 30 alanine variants (23%) (Fig. 1A and 1B). Eight alanine variants (7%) were considered to
122 be functionally neutral. One alanine variant (Glu67Ala) showed slightly elevated transactivating capacities
123 ($139 \pm 7.9\%$ activity relative to WT-PAX8).

124

125 **Structure-function relationships of the paired domain of PAX8**

126 The paired domain consists of two β -sheets ($\beta 1$ and $\beta 2$) and six α -helices ($\alpha 1$ to $\alpha 6$) (Fig. 1A). Based on the
127 crystal structure data, paired domains can be subdivided to three segments: N-terminal subdomain ($\beta 1$, $\beta 2$,
128 $\alpha 1$ to $\alpha 3$), C-terminal subdomain ($\alpha 4$ to $\alpha 6$), and the linker polypeptide between the two subdomains (Fig.
129 1B). As for 66 alanine variants in the N-subdomain, 30 (45%) were profound LOF and 13 (20%) were
130 moderate LOF (Fig. 1C). As for 50 alanine variants in the C-subdomain, nine (18%) were profound LOF and
131 14 (28%) were moderate LOF (Fig. 1D). The effects of alanine substitution in the linker polypeptide were
132 generally modest, except for Gly77, Gly78 and Ser79 that contact with DNA at the bottom of minor groove
133 (Fig. 1E).

134 Based on the crystal structure data, we classified the effects of the alanine substitution into five

135 categories according to the presence or absence of contact(s) to the target DNA or within PAX8 (Table 1).
136 Contacts to the target DNA, which were chiefly via hydrogen bonds, were found in twelve and five residues
137 in N- and C-subdomains, respectively. About 70% of alanine substitutions of these residues caused
138 significant LOF. Intramolecular contacts (hydrogen bonds or van der Waals contacts) were seen in 25 and 29
139 residues in N- and C-subdomains, respectively. About 60% of alanine substitutions of the residues caused
140 significant LOF in both of the two domains. “Free sidechain” residues were observed in 16 and 11 residues
141 in N- and C-subdomains, respectively. Eleven out of 16 “free sidechain” residues in N-subdomain caused,
142 when mutated to alanine, significant LOF, while only three out of 11 did in C-subdomain (P=0.036 by Fisher
143 exact test).

144

145

146 **Alanine substitution on the residues affected in CH patients**

147 To date, a total of 16 distinct CH-causing missense *PAX8* mutations affecting 13 residues have been
148 described in the paired domain (Al Taji *et al.* 2007, Carvalho *et al.* 2013, Congdon *et al.* 2001, Di Palma *et al.*
149 2010, Grasberger *et al.* 2005, Hermanns *et al.* 2013, Lof *et al.* 2016, Macchia *et al.* 1998, Meeus *et al.* 2004,
150 Narumi *et al.* 2012, Narumi *et al.* 2010, Ramos *et al.* 2014, Srichomkwun *et al.* 2016, Vilain *et al.* 2001). Out
151 of the 13 residues, 12 caused significant LOF when substituted to alanine (Table 2). The only exception was
152 Gln40Ala (corresponding human mutation, Gln40Pro), which showed minimal LOF (61.0±1.8% activity).

153

154 **Comparison of computational algorithms**

155 With assuming *in vitro* transactivating capacities as a gold standard test, we compared accuracy of seven
156 computational algorithms (FATHMM, MutationAssessor, MutationTaster, PolyPhen-2, PROVEAN, SIFT,
157 and VEST-4) in predicting the pathogenicity of 132 PAX8 alanine variants (Supplementary Table 2). The
158 area under the ROC curve values of the seven algorithms were 0.65 ± 0.09 (mean \pm SD) (Fig. 2). Highest area
159 under the ROC curve was scored in MutationAssessor with 0.76. When default cut-off threshold was applied,
160 all seven computational algorithms showed high sensitivity (0.95 ± 0.04) and very low specificity (0.14 ± 0.15).
161 When optimal cut-off values based on the ROC curves were used, the sensitivity and specificity were
162 0.62 ± 0.21 and 0.63 ± 0.14 , respectively (Supplementary Fig. 2A-G).

163

164 **Discussion**

165 In this study, we conducted the first systematic alanine-scanning mutagenesis targeting the paired domain of
166 a PAX transcription factor. Our findings would provide unique insights into structure-function relationships
167 of the paired domain of PAX8, and possibly other PAX gene family transcription factors, since amino-acid
168 sequences (and three-dimensional structures probably) are well conserved (Supplementary Fig. 3).

169 Three segments (N-subdomain, linker polypeptide and C-subdomain) were recognizable in the paired
170 domain of PAX8. Among 76 alanine variants with significant LOF, 43, 10 and 23 were located in the
171 N-subdomain, linker polypeptide and C-subdomain, respectively. Relatively severe effects of alanine
172 substitutions on the N-subdomain indicate the functional importance of the subdomain. The assumption

173 agrees well with following three facts: (i) the N-subdomain is better conserved among PAX family genes
174 than the C-subdomain (Supplementary Fig. 3); (ii) vast majority of CH-causing *PAX8* mutations have been
175 observed in the N-subdomain (Fig. 1A, Supplementary Table 3); (iii) similarly, mutations have been
176 preferentially observed in the N-subdomain in PAX3 defect (Waardenburg syndrome), PAX6 defect
177 (Aniridia) and PAX9 defect (Oligodontia or hypodontia), while mutations show broader distribution in PAX2
178 defect (Renal-coloboma syndrome) (Supplementary Table 3) (Schimmenti 2011). We could recognize two
179 structural/functional differences between N- and C-subdomains. One is the numbers of contacts between
180 PAX8 and the target DNA (12 contacts in N-subdomain; 5 contacts in C-subdomain). N-subdomain is
181 expected to bind to the target DNA more tightly. The other difference is related to "free sidechain" residues.
182 Among 16 "free sidechain" residues in N-subdomain, 69% caused, when mutated to alanine, significant LOF,
183 while only 27% in C-subdomain. Relatively severe effects of alanine substitutions on "free sidechain"
184 residues in N-subdomain imply the yet unknown function(s) of these residues. One possible explanation is
185 interaction(s) between the PAX8 protein and other transcription factor(s). PAX5, a closely related
186 transcription factor of PAX8, is known to bind to Ets by its N- subdomain to form a complex. PAX8 might
187 have such partner molecule(s), although none have been identified so far.

188 Alanine substitutions of 13 residues that have been affected in human CH patients caused significant
189 LOF, except for Gln⁴⁰. This failure in prediction is probably explained by the nature of substitutions: Gln to
190 Ala change affects the side chain only, while Gln to Pro change affects both the main chain and side chain.
191 We suppose that alanine scanning-based data will be a useful resource for pathogenicity prediction of future
192 novel PAX8 variants, although the nature of amino acid substitution should also be considered.

193 In this study, we showed that widely used computational algorithms have two clear deficits in
194 pathogenicity prediction of PAX8 variants. First, area under the ROC curve values of the algorithms were
195 generally low, indicating insufficient performance of them. Among the seven tested algorithms,
196 MutationAssessor worked best. Second, default cut-off thresholds for prediction were set inappropriately low
197 in all seven algorithms. This would result in false positive results. We stress that caution for “overdiagnosis”
198 is needed when clinicians interpret the results of computational algorithms with default cut-off thresholds.

199 In summary, we report alanine-scanning mutagenesis that targeted all 132 non-alanine residues of the
200 paired domain of PAX8. Our works not only contribute to better understanding of the structure-function
201 relationships of the paired domain, but also provides a unique resource for evaluating the pathogenicity of
202 novel *PAX8* variants identified in future CH patients.

203

204 **Declaration of interest**

205 The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality
206 of the research reported.

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215

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326

327 **Figure legends**

328 **Figure 1**

329 Effects of alanine substitutions in paired domain of PAX8. (A) A schematic diagram showing the secondary
330 structure of the paired domain (Gly⁹ to Gln¹³⁷) of PAX8. Residues that caused profound loss of function
331 (LOF), moderate LOF and minimal LOF were colored in red, orange and yellow, respectively. Residues with
332 comparable activities with wildtype and high activity were colored in gray and blue, respectively. Two
333 β -sheets and six α -helices are showed as boxes. Bars indicate the locations of previously reported missense
334 PAX8 mutations. (B-E) The three-dimensional structure of the DNA-binding paired domain and its target
335 DNA (colored in silver), based on the crystal structure data of PAX5–DNA complex. An overall view
336 indicating the three subdomains (B): N-subdomain (C), C-subdomain (D), and the linker polypeptide (E).

337

338 **Figure 2**

339 ROC curves of seven computational algorithms (FATHMM, MutationAssessor, MutationTaster, PolyPhen-2,
340 PROVEAN, SIFT, and VEST-4) are shown. For each of 132 PAX8 alanine variants, scores were obtained
341 with the seven algorithms. With assuming *in vitro* transactivating capacities as a gold standard test, ROC
342 curves were drawn.

343

344

345 **Table 1**346 **Structure-function relationships of the N-subdomain and C-subdomain**

Classification of the effect	N-subdomain			C-subdomain			<i>P</i> ^b
	Total (N)	LOF ^a (N)	%LOF (%)	Total (N)	LOF ^a (N)	%LOF (%)	
Loss of hydrogen bond(s) to DNA	12	10	83	4	3	75	0.47
Loss of van der Waals contact(s) to DNA	0	0	0	1	0	0	NA
Loss of hydrogen bond(s) within PAX8	6	3	50	11	6	55	0.38
Loss of van der Waals contact (within PAX8)	19	14	74	18	11	61	0.20
No effect (“free sidechain”)	16	11	69	11	3	27	0.036

347 a Significant LOF (*i.e.*, relative activity <30% of wildtype)

348 b P values were calculated with Fisher exact test.

349 Abbreviations: LOF loss of function

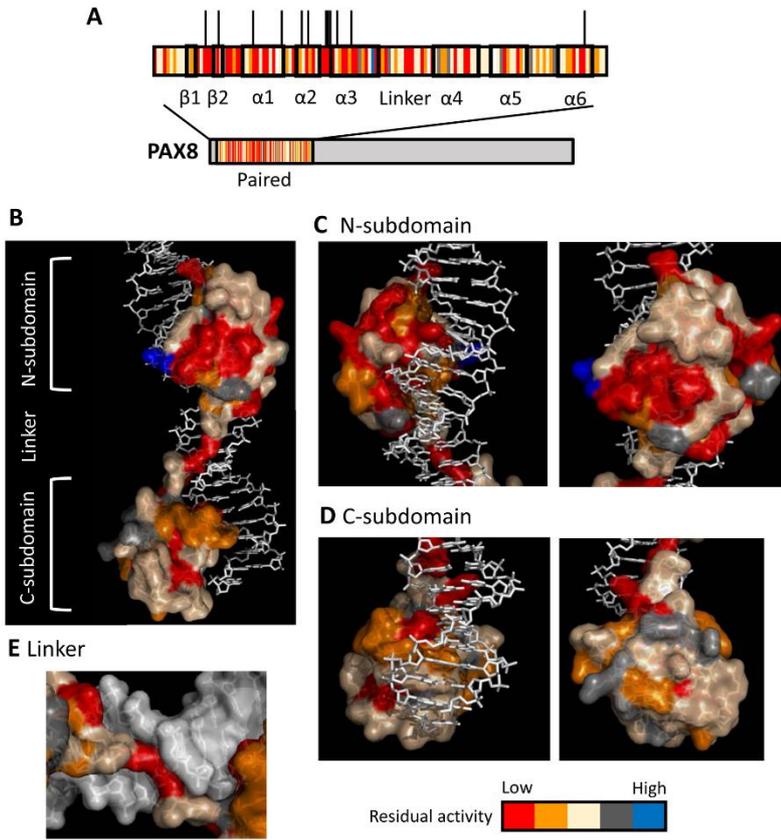
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351 **Table 2**352 **Effects of alanine substitutions on 13 residues that have been affected in human CH patients**

Human mutation	Alanine Variant	TG-luc activity of Ala variant (%)
Leu16Pro	Leu16Ala	5.8±1.5
Phe20Ser	Phe20Ala	-0.4±2.0
Pro25Arg	Pro25Ala	21.2±2.6
Arg31His	Arg31Ala	-0.9±0.4
Arg31Cys	Arg31Ala	-0.9±0.4
Gln40Pro	Gln40Ala	61.0±1.8
Ile47Thr	Ile47Ala	-1.9±0.9
Ser48Phe	Ser48Ala	22.7±4.2
Arg52Pro	Arg52Ala	0.9±4.9
Ser54Arg	Ser54Ala	-0.6±0.9
Ser54Gly	Ser54Ala	-0.6±0.9
Ser54Cys	Ser54Ala	-0.6±0.9
His55Gln	His55Ala	9.2±1.8
Cys57Tyr	Cys57Ala	16.1±6.7
Leu62Arg	Leu62Arg	-1.4±0.9
Arg133Gln	Arg133Ala	11.9±4.1

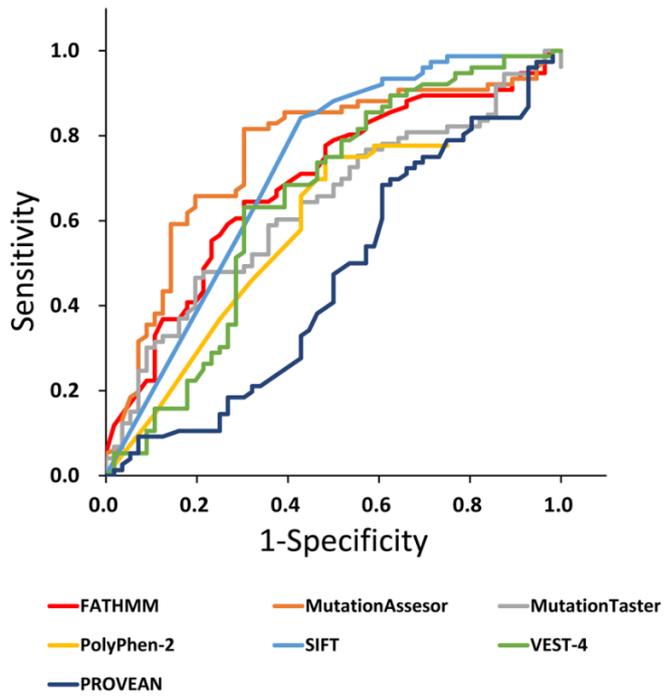
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355

356 Fig. 1



357

358 Fig. 2