# Assessment of the Hypercapnic Ventilatory Response Measured with the Transcutaneous and Inspired Partial Pressures of CO<sub>2</sub>

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## ABSTRACT

Purpose : The slope of the hypercapnic ventilatory response (HCVR) can be calculated from the values of transcutaneous partial pressure of  $CO_2$  (tcPCO<sub>2</sub>) and inspired partial pressure of  $CO_2$  (P<sub>1</sub>CO<sub>2</sub>) without measuring ventilatory volume. In this study, we examined how accurately this calculation reflects the slope derived directly from measured expiratory volume ( $V_E$ ).

Methods: We assessed hypercaphic ventilatory responses in 3 men, and measured HCVR with both methods 4 times in each.

Results: We found a significant correlation between the slope calculated from  $tcPCO_2$  and  $P_1CO_2$  and the slope derived directly from measured HCVR.

Key words: hypercaphic ventilatory response, CO<sub>2</sub> electrodes, transcutaneous

## INTRODUCTION

Measuring hypercapnic ventilatory response (HCVR) in neonates is extremely difficult, because alveolar ventilatory volume cannot be measured easily. Alveolar ventilatory volume is calculated from the exhaled volume of  $CO_2$  during breathing ( $\dot{V}co_2$ ) and the fractional concentration of alveolar  $CO_2$  ( $F_ACO_2$ ) according to the following formula :

$$\dot{V}_{A(breathing air)} = \dot{V}_{CO_2}/F_ACO_2$$
 [Eq 1]

If the fractional concentration of  $CO_2$  in inspired gas ( $F_1co_2$ ) is added, the formula is as follows:

$$\dot{V}_{A(breathing CO_2 in air)} = \dot{V}_{CO_2} / (F_A co_2 - F_I co_2)$$
 [Eq 2]

The fractional increase in ventilation from

ratio) is:

breathing air to breathing air/CO<sub>2</sub> (VR: ventilatory

$$VR = \frac{V_A \text{ (breathing CO2 in air)}}{\dot{V}_A \text{ (breathing air)}} = \frac{F_A co_2}{F_A co_2 - F_I co_2}$$
[Eq 3]

Hazinski et al.<sup>1</sup> obtained the following equation by replacing  $F_ACO_2$  with transcutaneous partial pressure of  $CO_2$  (tcPCO<sub>2</sub>), which shows VR when the inspired partial pressure of  $CO_2$  (P<sub>1</sub>CO<sub>2</sub>) is added :

$$VR = \frac{tcPco_2 \text{ (breathing air)}}{tcPco_2 - P_1co_2 \text{ (breathing } CO_2 \text{ in air)}}$$
[Eq 4]

VR is a value that is expressed as the ratio of ventilatory volume change when the ventilatory volume at a steady state during air breathing is defined as 1. The increase in VR against the changes in

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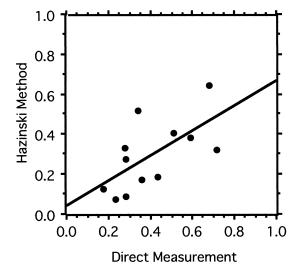


Fig. 1. The regression line between the slopes of the HCVR measured with the Hazinski method, which measures tcPco<sub>2</sub>, and with direct measurement of minute ventilation was  $Y = 0.041 + 0.627 \cdot X$ . The two slopes were strongly correlated. ( $R^2 = 0.404$ , P = 0.026; n = 12).

 $tcPCO_2$  is the slope S of HCVR: S=VR/(T2-T1), where T2 and T1 are the  $tcPCO_2$  values with and without added CO<sub>2</sub>. For example, the normal HCVR is about 3 L/min/mmHg. With an assumed resting ventilation of 6 L/min, the slope S with the Hazinski method is  $3/6=0.5/mmHg^2$ .

Thus, they calculated the slope of HCVR from the values of  $tcPCO_2$  and  $P_1CO_2$  without measuring alveolar ventilatory volume.

It is not known how accurately the slope that is calculated from  $tcPCO_2$  reflects the slope that is based on directly measured expiratory volume (V<sub>E</sub>), a standard indicator of HCVR. We therefore performed a study to assess the accuracy of calculating the slope from  $tcPCO_2$  and  $P_1CO_2$  (the Hazinski method) by comparing it with the slope derived from direct measurement of V<sub>E</sub>.

## MATERIALS AND METHODS

The protocol was approved by the ethics committee of The Jikei University School of Medicine, and informed consent was obtained from each subject. The subjects were 3 healthy men. Age, height, and weight were 40 years, 176 cm, and 78 kg, respectively, in subject a; 41 years, 161 cm, and 79 kg in subject b; and 51 years, 167 cm, and 63 kg in subject c. The HCVR was determined with both the Hazinski method<sup>1</sup> and direct measurement of  $V_E$ . The VR was measured 4 times with each method in each of the 3 subjects. The subjects breathed room air and oxygen or a mixture of oxygen and exhaled gas through a one-way valve attached to a face mask in a semisitting position (Fowler's position). The tip of the sampling tube was placed close to the mouth and end-tidal  $CO_2$  pressure and  $P_1CO_2$  were measured with a capnometer (Capnomac Ultima Expiratory Gas Analyzer; Datex-Ohmeda Division, Instrumentarium Corp., Helsinki, Finland). The capnometer was calibrated with dry gas and compensated for barometric pressure and vapor pressure. Minute ventilation was measured with a hot-wire spirometer (Respiratory Flowmeter; Minato Medical Science Co., Ltd., Osaka, Japan), placed between the face mask and the respiratory circuit. The details of the experimental set up described in Fujiwara et al.<sup>3</sup>.

Inspiratory oxygen concentration was kept higher than 50% throughout the experiment. After the skin electrode (TCM3; Radiometer A/S, Bronsho, Denmark) was placed on the internal side of the forearm, the face mask was attached, a mixture of air and oxygen was inhaled, and tcPCO<sub>2</sub> and  $V_E$  were measured as steady state values. The inspired CO<sub>2</sub> was then increased in two steps of 3 to 5 mmHg each; stabilized P<sub>1</sub>CO<sub>2</sub>, tcPCO<sub>2</sub>, and  $V_E$  were recorded at each step. Minute ventilation was calculated from each tidal volume and was traced on the chart recorder to confirm that the value was stable for 1 minute.

For the Hazinski method, VR was calculated (Eq 4) for each HCVR test at a steady state and at the first and second steps of  $CO_2$  loading. From these three sets of VR data and the tcPCO<sub>2</sub> data, the slopes of the regression lines were obtained.

For directly measured minute ventilation, measured minute ventilation was divided by steady state minute ventilation to obtain VR. From these three sets of VR data and the  $tcPCO_2$  data, slopes of the regression lines were obtained.

Data are expressed as mean±SE. Differences

with a P value less than 0.05 were considered statistically significant. The StatView software package (Abacus Concepts, Berkeley, CA) was used for regression analysis.

### RESULTS

With the Hazinski method, the value of the slopes of the regression lines for HCVR obtained from 4 measurements in each of the 3 subjects was  $0.30 \pm$ 0.05/ mmHg (n=12; Table 1). For directly measured minute ventilation, the value of the slopes of the regression lines for HCVR obtained from 4 measurements in each of the 3 subjects was  $0.41\pm0.05/$ mmHg (n=12; Table 1). The slopes obtained with these two methods were significantly correlated ( $R^2=0.404$ , P=0.026; n=12).

#### DISCUSSION

This study shows that the slope of HCVR calculated from the values of transcutaneous  $PCO_2$  is correlated well to the directly measured HCVR.

When HCVR is directly measured in healthy subjects without hypercapnia, the increase in ventilation per 1 mmHg of arterial partial pressure of CO<sub>2</sub> (PaCO<sub>2</sub>) is 50% of the steady state ventilation. For example, when minute ventilation is 6 L/min, ventilation increases by 3 L/min, and the slope is 3 L/min/ mmHg of PaCO<sub>2</sub>. If we convert these numbers to reflect the ventilatory response that Hazinski et al.<sup>1</sup> found based on tcPCO<sub>2</sub> in newborns, ventilation would have increased from 1 to 1.5 L/min and the slope would be 0.5 L/min/mmHg of PaCO<sub>2</sub><sup>2</sup>. In our study the slope calculated from direct measurement of minute ventilation was  $0.41\pm0.05$  (n=12), which is close to the expected value.

However, the slope we calculated on the basis of  $tcPCO_2$  was  $0.30\pm0.05$  (n=12). How can this low value be explained? The  $tcPCO_2$  is thought to reflect PaCO<sub>2</sub> accurately<sup>4</sup>, and there would be no significant error in measuring ventilation. However, with the Hazinski method,  $CO_2$  clearance is estimated with alveolar ventilation, not with total ventilation. Therefore, changes in dead space may affect the

Table 1.	Slopes of	the	hypercapnic	ventilatory	
	response of	otained	with the Haz	inski method	
	and with direct measurement of minute venti-				
	lation				

Slope

Subject	Test	Hazinski Method	Direct Measurement
	1	0.330	0.275
0.1.	2	0.521	0.339
Subject a	3	0.088	0.282
	4	0.407	0.507
	1	0.175	0.358
0.1. (1	2	0.073	0.230
Subject b	3	0.275	0.282
	4	0.124	0.174
	1	0.189	0.434
Cubicat a	2	0.385	0.588
Subject c	3	0.644	0.678
	4	0.323	0.715

results. In other words, differences in the slopes might be due to an error in measuring  $P_1CO_2$ . Specifically, there might be a difference between  $P_1CO_2$ measured at the mouth and alveolar  $P_1CO_2$  due to the effect of dead space when measuring HCVR. Because our subjects were in a semisitting position, this dead space effect might have been the result of the mechanism reported by Larson and Severinghaus<sup>5</sup>.

Hazinski et al.<sup>1</sup> have also reported that in infants the slope is between 0.22 and 0.78. This wide range may be the result of using  $PCO_2$  in both the X-axis as the stimulus and the Y-axis as the response. Another explanation is that as  $PCO_2$  rises, the response is computed from the difference between numbers that are approaching each other, so any measurement errors increase when inspired  $CO_2$  is high.

Another source of error with the Hazinski method is the assumption that  $CO_2$  excretion remains constant, even when  $PCO_2$  is increased. This is almost certainly incorrect to a small extent because body stores gradually increase at higher  $PCO_2$  levels.

Despite these sources of error, our directly measured values were similar to values we obtained with the Hazinski method. The slope of the values obtained with the Hazinski method and the slope of the directly measured HCVR were strongly correlated.

We conclude that the Hazinski method is useful for estimating directly measured HCVR.

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